



Please review and retain this important privacy information.

Rev. 3/2011

FACTS

WHAT DOES ADVANCED INVESTMENT PARTNERS DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Information you provide to us on applications or other forms (such as your name, address, social security number, spouse and dependents, investment objectives, income, and net worth).
- Information about your transactions or experience with us and our affiliated companies (such as purchases, sales, wire instructions, and account balances).
- Information from a consumer-reporting agency.
- Information we receive as a result of verifying customer information.
- Information we receive as a result of your account inquiries by mail, e-mail, telephone, or web site usage (including any information captured through our use of “cookies”).

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Advanced Investment Partners chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does AIP Share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	Yes
For our affiliates’ everyday business purposes— information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	No	We don’t share

To limit our sharing

- Email your request to matie@aipllc.com by providing your name, address, and last four digits of your account number or call us at 727-799-3671.

Please note:

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice; however, you can contact us at any time to limit our sharing.

Questions

If you have questions about our policy or for additional copies of this notice, send an e-mail to matie@aipllc.com or contact Advanced Investment Partners at 100 Main Street, #301, Safety Harbor, Florida 34695 or call 727-799-3671.

Who we are

Who is providing this notice?	Advanced Investment Partners is providing this notice
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What we do

How does Advanced Investment Partners protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Advanced Investment Partners collect my personal information?	We collect your personal information, for example, when you: <ul style="list-style-type: none"> • open an account and complete an application or other forms • purchase or sell securities, deposit or withdraw funds • inquire about your account via mail, email, telephone, or web-site. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. This includes: <ul style="list-style-type: none"> • Wintrust Wealth Management • Wayne Hummer Investments, LLC • Wintrust Capital Management Company, LLC • The Chicago Trust Company, N.A • <i>Our affiliates include financial companies such as: Banks: Lake Forest Bank & Trust Company, Hinsdale Bank & Trust Company, North Shore Community Bank & Trust Company, Libertyville Bank & Trust Company, Barrington Bank & Trust Company, N.A., Crystal Lake Bank & Trust Company, N.A., Northbrook Bank & Trust Company, Advantage National Bank, Village Bank & Trust, Beverly Bank & Trust Company, N.A., Town Bank, Wheaton Bank & Trust Company, State Bank of The Lakes, Old Plank Trail Community Bank, N.A., St. Charles Bank & Trust Company. Mortgage: Wintrust Mortgage Corporation.</i>
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> • <i>Advanced Investment Partners does not share information with non-affiliates.</i>
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. This includes: <ul style="list-style-type: none"> • Morgan Stanley Smith Barney, LLC • Lockwood Advisors, Inc. • Prudential Investments, LLC • SEI Investments Management Corporation • Envestnet Asset Management, Inc. • Placemark Investment, Inc. • Oppenheimer Asset Management, Inc.